1.

SUPPLIER IDENTIFICATION DATA AND SIMPLE SWORN STATEMENT

BY JURIDICAL PERSON

Santiago,

Mrs.

Undersecretary for Telecommunications

|  |  |
| --- | --- |
| Business Legal Name  |  |
| Trade name |  |
| ID number of the juridical person |  |
| Business address |  |
| Telephone, fax number or e-mail |  |
| Name and National ID number of legal representative |  |
| Provider acts individually or jointly, in accordance with the provisions of Article 8 of the administrative conditions (mark with an X) |  Individual Joint |
|  |
| Complete the following information if you have marked the option of bidder acting jointly |
|  |  |
| Business legal name and/or juridical person name of each Consortium member |  |
| Trade name (if applicable) |  |
| Name of the common representative |  |
| Business address |  |
| Telephone, fax number or e-mail |  |

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| --- |
| **THE NAME OF THE BIDDER'S LEGAL REPRESENTATIVE**  |
|  |

In representation of the firm:

|  |  |
| --- | --- |
| **BUSINESS LEGAL NAME** | **ID NUMBER** |
|  |  |

I swear under oath that the company I represent does not incur in any of the following prohibitions:

1. Have been convicted of anti-union practices, infringement of the fundamental rights of the worker or bankruptcy offences established in the Criminal Code, within the previous two (2) years.
2. Be a partnership of persons, which executive officers of the Ministry of Transport and Telecommunications and/or its dependent or related bodies form part of, or persons having the status of spouse, civil partner, child, adopted, or relative up to the fourth degree of kinship and second degree of affinity, inclusive, with respect to an executive of the Ministry of Transport and Telecommunications and/or its dependent or related bodies.
3. Be a company limited by shares or a closed stock corporation of which executive officers of the Ministry of Transportation and Telecommunications and/or its dependent or related bodies are shareholders, or persons who have the status of spouse, civil partner, child, adopted, or relative up to the fourth degree of kinship and second degree of affinity, including, with respect to one of said officers.
4. Be an open corporation in which an executive officer of the Ministry of Transportation and Telecommunications and/or its dependent or related bodies, or persons having the status of spouse, civil partner, child, adopted, or relative up to the fourth degree of kinship and second degree of affinity, inclusive, with respect to one of such officers, owns shares representing 10% or more of the capital, or managers, administrators, representatives or directors of any of the above companies.
5. Be (i) linked to any activity in violation of: (a) any local law of any country, or any regional, supranational or community law relating to combating money laundering and preventing the financing of terrorism; and/or (b) any principle, recommendation or provision issued by the United Nations, and/or any other body dedicated to combating money laundering and preventing the financing of terrorism; and/or (ii) on any of the lists generated by or on the occasion of any of the rules, principles and/or recommendations referred to in (i) above; and/or (iii) at the sole discretion of CAF does not comply with the applicable CAF internal requirements and regulations relating to its policy on combating money laundering and preventing the financing of terrorism; and/or (iv) may not have, directly or indirectly, at the same time two service contracts financed with CAF resources, unless having the express written consent of CAF; and/or (v) any of the members of consulting firms belong, directly or indirectly, to the permanent or temporary staff of the Executor, or had belonged to it within the twelve (12) months prior to the date of submission of the contracting request. The same restriction shall apply to personnel who have belonged to CAF.

I also declare that the company I represent has not been sentenced by an enforceable judgement to any of the penalties laid down in Articles 8 and 10 of Act No. 20,393, which establishes the criminal liability of legal persons in the offences of money laundering, financing of terrorism and bribery.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

SIGNATURE

1.

CONSULTANT IDENTIFICATION DATA AND SIMPLE SWORN STATEMENTBY NATURAL PERSON

Santiago,

Mrs.

Undersecretary for Telecommunications

|  |  |
| --- | --- |
| Consultant's name |  |
| ID number of the natural person |  |
| Business address |  |
| Telephone, fax number or e-mail |  |
| Consultant acts individually or jointly, in accordance with the provisions of Article 8 of the administrative conditions (mark with an X) | Individual Joint  |
|  |
| Complete the following information if you have marked the option of bidder acting jointly |
|  |  |
| Names of the natural person of the consortium |  |
| Name of the common representative |  |
| Business address |  |
| Telephone, fax number or e-mail |  |
| Names of the natural person of the consortium |  |

|  |  |
| --- | --- |
| **NAMES** | **SURNAMES** |
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|  |  |
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| **IDENTITY NUMBER** | **PROFESSION OR ACTIVITY** |
|  |  |

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| --- |
| **ADDRESS** |
|  |

I declare under oath that I am not an officer of the Ministry of Transport and Telecommunications and/or its dependent or related bodies, nor do I have the status of spouse, civil partner, child, adopted, or relative up to and including the fourth degree of kinship and second degree of affinity with any such officer.

I also declare under oath that I am not a manager, administrator, representative or director of a partnership which includes executive officers of the Ministry of Transport and Telecommunications and/or its dependent or related bodies, or persons having the status of spouse, child, adopted, or relative up to the fourth degree of kinship and second degree of affinity, including an executive of the Ministry of Transport and Telecommunications and/or its dependent or related bodies; nor of a limited or closed joint-stock company in which executive officers of the Ministry of Transport and Telecommunications and/or its dependent or related bodies are shareholders, or persons having the status of spouse, civil partner, child, adopted, or relative up to the third degree of kinship and second degree of affinity, inclusive, with respect to one of said executives; nor of an open corporation in which an officer of the Ministry of Transportation and Telecommunications and/or its dependent or related bodies, or persons having the status of spouse, child, adopted, or relative up to and including the fourth degree of kinship and second degree of affinity with respect to one of said officers, own shares representing 10% or more of the capital.

I declare under oath that I do not incur in any of the following prohibitions:

Be (i) linked to any activity in violation of: (a) any local law of any country, or any regional, supranational or community law relating to combating money laundering and preventing the financing of terrorism; and/or (b) any principle, recommendation or provision issued by the United Nations, and/or any other body dedicated to combating money laundering and preventing the financing of terrorism; and/or (ii) being on any of the lists generated by or on the occasion of any of the rules, principles and/or recommendations referred to in (i) above; and/or (iii) at the sole discretion of CAF does not comply with the applicable CAF internal requirements and regulations relating to its policy on combating money laundering and preventing the financing of terrorism; and/or (iv) may not have, directly or indirectly, at the same time two service contracts financed with CAF resources, unless they have the express written consent of CAF; and/or (v) any of the members of consulting firms belong, directly or indirectly, to the permanent or temporary staff of the Executor, or if they had belonged to it within the twelve (12) months prior to the date of submission of the contracting request. The same impediment will apply to personnel who have belonged to CAF.

Finally, I also declare under oath that I have not been convicted for anti-union practices, infringement of the fundamental rights of the worker or for bankruptcy offences established in the Criminal Code, within the previous two (2) years.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

SIGNATURE

1.

SIMPLE SWORN STATEMENT (SUBCONTRACTOR)

Santiago,

Mrs.

Undersecretary for Telecommunications

|  |  |
| --- | --- |
| Business Legal Name |  |
| Trade name |  |
| ID number of the juridical person |  |
| Business address |  |
| Telephone, fax number or e-mail |  |
| Name and National ID number of legal representative |  |
| Provider acts individually or jointly, in accordance with the provisions of Article 8 of the administrative conditions (mark with an X) |  Individual Joint |
|  |
| Complete the following information if you have marked the option of bidder acting jointly |
|  |  |
| Business legal name and/or juridical person name of each Consortium member |  |
| Trade name (if applicable) |  |
| Name of the common representative |  |
| Business address |  |
| Telephone, fax number or e-mail |  |

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| **THE NAME OF THE BIDDER'S LEGAL REPRESENTATIVE** |
|  |

In representation of the firm:

|  |  |
| --- | --- |
| **BUSINESS LEGAL NAME** | **ID NUMBER** |
|  |  |

I swear under oath that the company I represent does not incur in any of the following prohibitions:

1. Have been convicted of anti-union practices, infringement of the fundamental rights of the worker or bankruptcy offences established in the Criminal Code, within the previous two (2) years.
2. Be a partnership of persons of which executive officers of the Ministry of Transport and Telecommunications and/or its dependent or related bodies form part, or persons having the status of spouse, civil partner, child, adopted, or relative up to the fourth degree of kinship and second degree of affinity, inclusive, with respect to an executive of the Ministry of Transport and Telecommunications and/or its dependent or related bodies.
3. Be a company limited by shares or a closed stock corporation in which executive officers of the Ministry of Transportation and Telecommunications and/or its dependent or related bodies are shareholders, or persons who have the status of spouse, civil partner, child, adopted, or relative up to the fourth degree of kinship and second degree of affinity, including, with respect to one of said officers.
4. Be an open corporation in which an executive officer of the Ministry of Transportation and Telecommunications and/or its dependent or related bodies, or persons having the status of spouse, civil partner, child, adopted, or relative up to the fourth degree of kinship and second degree of affinity, inclusive, with respect to one of such officers, owns shares representing 10% or more of the capital, nor with the managers, administrators, representatives or directors of any of the above companies.
5. Be (i) s linked to any activity in violation of: (a) any local law of any country, or any regional, supranational or community law relating to combating money laundering and preventing the financing of terrorism; and/or (b) any principle, recommendation or provision issued by the United Nations, and/or any other body dedicated to combating money laundering and preventing the financing of terrorism; and/or (ii) on any of the lists generated by or on the occasion of any of the rules, principles and/or recommendations referred to in (i) above; and/or (iii) at the sole discretion of CAF does not comply with the applicable CAF internal requirements and regulations relating to its policy on combating money laundering and preventing the financing of terrorism; and/or (iv) may not have, directly or indirectly, at the same time two service contracts financed with CAF resources, unless having the express written consent of CAF; and/or (v) any of the members of consulting firms belong, directly or indirectly, to the permanent or temporary staff of the Executor, or had belonged to it within the twelve (12) months prior to the date of submission of the contracting request. The same restriction shall apply to personnel who have belonged to CAF.

I also declare that the company I represent has not been sentenced by an enforceable judgement to any of the penalties laid down in Articles 8 and 10 of Act No. 20,393, which establishes the criminal liability of legal persons in the offences of money laundering, financing of terrorism and bribery.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

SIGNATURE

1.

SIMPLE SWORN STATEMENT - JURIDICAL PERSON

FULFILLMENT OF LABOR AND SOCIAL SECURITY OBLIGATIONS

Santiago,

Mrs.

Undersecretariat for Telecommunications

|  |
| --- |
| **NAME OF THE LEGAL REPRESENTATIVE OF THE JURIDICAL PERSON** |
|  |

|  |  |  |
| --- | --- | --- |
| **ID NUMBER** | **MARITAL STATUS** | **PROFESSION OR ACTIVITY** |
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|  |
| --- |
| **ADDRESS** |
|  |

In representation of the firm:

|  |  |
| --- | --- |
| **BUSINESS LEGAL NAME** | **ID NUMBER** |
|  |  |

I declare under oath that \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **(YES/NO)** does not record unpaid balances of wages or social security contributions with its current workers or with workers hired in the past two years.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

SIGNATURE

1.

SIMPLE SWORN STATEMENT - NATURAL PERSON

FULFILLMENT OF LABOR AND SOCIAL SECURITY OBLIGATIONS

Santiago,

Mrs.

Undersecretariat for Telecommunications

|  |  |
| --- | --- |
| **NAMES** | **SURNAMES** |
|  |  |

|  |  |  |
| --- | --- | --- |
| **ID NUMBER** | **MARITAL STATUS** | **PROFESSION OR ACTIVITY** |
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| **ADDRESS** |
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I declare under oath that \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **(YES/NO)** does not record unpaid balances of wages or social security contributions with its current workers or with workers hired in the past two years.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

SIGNATURE

1.

ECONOMIC OFFER

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| --- | --- |
| **Amount Offered in (USD)** |  |

|  |
| --- |
| **Delivery times (calendar days)** |
| Report No. 1 |   |
| Report No. 2 (\*) |   |
| Final Report (\*) |   |
| Total (\*\*) |   |

(\*) Counted from delivery of the previous report.

(\*\*) Sum of all delivery times.

1.

EXPERIENCE OF THE MEMBERS OF THE WORK TEAM

1. **Experience of the consultants that form part of the work team to be assigned to the execution of the service which is the object of this bidding process, in matters related to:**
2. Knowledge or at least one experience in economic impact studies of: ICT policies and/or digital gap, and/or public policy strategy in telecommunications and/or evaluation of ICT projects;
3. Knowledge or at least experience in studies of economic characterization of the telecommunications industry, in topics such as: investment levels, operating conditions and international service;
4. Knowledge or at least experience in studies of industrial organization of the transport market and services, intermediate and final Telecommunications, in particular Submarine FO;
5. Knowledge or at least one experience in studies that analyze the development and evaluation of projects related to Submarine FO;
6. Knowledge or at least one experience in studies and/or projects of public and private telecommunications in Chile or abroad;
7. Knowledge or at least one experience in dimensioning and designing Submarine FO networks;
8. Knowledge or at least one experience in network planning and projection of technological changes associated with the convergence of services expected for the industry in the medium term;
9. Knowledge or at least experience in the preparation of detailed technical specifications for the implementation of Submarine FO Networks.

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| **Name of the consultant** | **Name of the activity, project or consultancy** | **Start date (month and year)** | **End date (month and year)** | **Description of the project or consultancy and of the work carried out by the consultant** | **Contracting organization and contact details that can serve as a reference** | **Description of the activity carried out by the consultant** |
|   |   |   |   |   |   |   |
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1. **Experience of the Project Leader of the work team to be assigned to the execution of the service object of this bidding process, in matters related to:**
2. At least one experience in submarine fiber optical network market research projects;
3. At least one experience in characterization and demand analysis of existing commercial Submarine fiber optical networks;
4. At least one experience in network planning and projection of technological changes associated with the convergence of services expected for the industry in the medium and long terms;
5. Have worked in the telecommunications industry, preferably in Submarine FO services;
6. Studies and/or projects in public and private telecommunications in Chile or abroad;
7. Studies on effects on the industry associated with the introduction of projects of legal modifications, analysis of possible litigations and anticipation of mitigation mechanisms;
8. Economic impact studies of: ICT policies and/or digital gap, and/or public policy strategy in telecommunications and/or evaluation of ICT projects;
9. Studies of economic characterization of the telecommunications industry, in topics such as: investment levels, operating conditions and service in the Chilean territory;
10. Managerial position in projects in any of the above areas.

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| --- | --- | --- | --- | --- |
| **Name of the activity, project or consultancy** | **Start date (month and year)** | **End date (month and year)** | **Description of the activity, project or consultancy and of the work carried out by the Project Manager** | **Contracting organization and contact details that may serve as a reference** |
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*Bidders must complete this annex and accompany it with their Technical Specifications; otherwise their proposals will be declared inadmissible.*

1.

EXPERIENCE OF THE BIDDER (NATURAL OR JURIDICAL PERSON) IN THE EXECUTION OF PROJECTS WITH SIMILAR CHARACTERISTICS

Proven experience of the bidder on studies developed that are similar to those described in the Technical Specifications (Evaluation Criterion No. 2).

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| **Name of the Consultancy** | **Start date** | **End date** | **Field which the consultancy refers to** | **Public or private entity that contracted it** | **Contact details** | **Brief description of the work carried out** |
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Bidders must use the format defined in these Annexes and attach it to their Technical Offer Specifications in an Excel file, otherwise their proposals will be declared inadmissible.